# This Page Is Inserted by IFW Operations and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

## IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

THIS PAGE BLANK (USPTO)

## **PCT**

## WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



51) International Patent Classification 5:		11) International Publication Number: WO 94/12511
C07H 15/04, C07C 235/08, 235/10, C11D 1/52	A1	43) International Publication Date: 9 June 1994 (09.06.94
21) International Application Number: PCT/EB  22) International Filing Date: 11 November 1993 (  30) Priority Data: 981,644 25 November 1992 (25.11.5)  71) Applicant (for AU BB CA GB IE LK MN MW NZ UNILEVER PLC [GB/GB]; Unilever House, B London ECAP 4BQ (GB).  71) Applicant (for all designated States except AU BB CA MN MW NZ SD): UNILEVER NV [NL/NL]; W NL-3013 AL Rotterdam (NL).  72) Inventors: VERMEER, Robert; 82 Stager Street, N 07110 (US). AU, Van; 205 Nelson Avenue, Peel 10566 (US). HARIRCHAIN, Bijan; 420 West Sot Avenue, South Orange, NJ 07079 (US).  74) Agent: FRANSELLA, Mary, Evelyn; Unilever p Division, Colworth House, Sharnbrook, Bedford M (GB).	SD only lackfria  GB IE 1  'eena 45  Nutley, 1  kskill, Nuth Oran	SK, UA, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAP patent (BF, BJ, CF, CG, CL, CM, GA, GN, ML, MR, NE, SN, TD, TG).  Published  With international search report.

Aldonamide surfactants, both monosaccharide aldonamide and higher (di- or oligo-)saccharide aldoamides, containing heteroatoms (preferably O, but also S or NH) in the hydrocarbon radical, are useful as surfactants and foaming agents. These compounds exhibit superior foaming and enhanced solubility compared to their counterparts containing no heteroatoms in the hydrocarbon radical.

#### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MIR	Matritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	Œ	Ireland	NZ	New Zealand
BJ	Benin	TT .	<u>Italy</u>	PL	Poland
BR	Brazil	JР	Japan	PT	Portugal
BY	Belarus	KE	Kettya	RO	Romania
CA	Canada	KG	Kyrgystan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic	SD	Sudan
CG	Congo		of Korea	SE	Sweden
CH	Switzerland	KR	Republic of Korea	SI	Slovenia
CI	Côte d'Ivoire	K2	Kazakhstan	SK	Slovakia
CM	Cameroon	Ц	Liechtenstein	SN	Senegal
CN	China	LK	Sri Lanka	170	Chad
CS	Czechoslovakia	LU	Luxembourg	TG	Togo
cz	Czech Republic	LV	Latvia	ŢĴ	Tajikistan
DE	Germany	MC	Mocaco	TT	Trittidad and Tobago
DK	Denmark	MD	Republic of Moldova	<b>UA</b>	Ukraine
ES	Spein	MG	Madagascar	US	United States of America
FI	Pinland	ML	Mali	UZ.	Uzbekistan
FR	France	MIN	Mongolia	VN	Vist Nam
GA	Gabon .				

WO 94/12511 - 1 - PCT/EP93/03171

#### ALDONAMIDES AND THEIR USE AS SURFACTANTS

#### FIELD OF THE INVENTION

The present invention relates to the use as surfactants and foaming agents of specific aldonamide compounds which are higher foaming and more soluble relative to other aldonamide compounds. More particularly, the invention relates to aldonamide compounds having a heteroatom (e.g. sulphur, oxygen, nitrogen) in the aliphatic hydrocarbon radical.

#### BACKGROUND AND PRIOR ART

25

30

10 An aldonamide is defined as the amide of an aldonic acid (or aldonolactone), and an aldonic acid, in turn, is defined as a sugar substance (eg any cyclic sugar) in which the aldehyde group (generally found at the C, position on the sugar) has been replaced by a carboxylic acid which upon 15 drying cyclises to an aldonolactone. Aldonamides may be based on compounds comprising one saccharide unit (eg ribonamides, gluconamide, glucoheptonamide), two saccharide units, (eg lactobionamide or maltobionamide) or they may be based on compounds compromising more than two saccharide units as long 20 as the polysaccharide has a terminal sugar unit with an aldehyde group available for oxidation to a carboxylic acid group.

Most surfactants presently used in personal product and in detergent compositions are based on petrochemicals.

Because of increased concern over environmental issues raised by use of such materials and also because of the continually rising costs of petrochemicals, it would be advantageous to develop surfactants which are instead derived from agriculturally grown materials such as carbohydrates. These natural occurring compounds represent a source of renewable raw materials that are synthetically versatile, inexpensive,

WO 94/12511 - 2 - PCT/EP93/03171

optically pure and environmentally friendly. In addition, it is most desirable to have surfactants that foam well and are readily soluble in aqueous compositions.

There are a number of prior art references which are directed to N-alkyl gluconamides.

US 2 662 073 (Mehltretter/US Secretary of Agriculture), for example, teaches a gluconamide compound:

# HOCH<sub>2</sub> (CHOH) 4 CNHR

- in which R is a hydrocarbon radical selected from aliphatic radicals having 8 to 18 carbons, cycloaliphatic radicals having 8 to 18 carbons and rosin radicals. The compounds are said to be valuable wetting agents for use in the mercerisation of cotton and in the manufacture of viscose yarn. There is clearly no teaching or suggestion of the use of these compounds in detergent or personal product compositions nor is there a teaching or suggestion of using heteroatoms in the hydrocarbon radical (R group) to enhance water solubility.
- 20 US 2 721 211 (Buc/GAF Corporation) teaches alkyl formyl phenylene glucamides as solubilising agents for vat dyestuffs. The alkyl, formyl, phenyleneamine hydrocarbon radical (R group) of these compounds are structurally unrelated to the compounds of the invention which contain a hydrocarbon radical interrupted by a heteroatom.

Fieser et al in J Am Chem Soc 78: 2825 (1956) teach the preparation of a series of N-alkyl arabonamides and N-alkyl gluconamides for use as emulsifiers. In these compounds the attached alkyl R group is  $C_{10}$ - $C_{18}$ . The reference teaches that the compounds were insufficiently water-soluble to be useful as emulsifying agents. Furthermore, when oxygen was

30

WO 94/12511 - 3 - PCT/EP93/03171

added to the compound in the form of an additional hydroxyl group on the sugar (ie alkyl glucoheptonamides), the compound had decreased water solubility and poorer emulsifying properties. Thus, the reference suggests that the addition of oxygen in the form of a hydroxyl group in the sugar head group does not enhance water solubility. Also, there is clearly no teaching or suggestion that the addition of oxygen or other heteroatoms in the alkyl radical can enhance solubility.

The fact that monosaccharide aldonamides (eg N-alkyl gluconamides) where the alkyl group is C<sub>10</sub> or greater have poor water solubility (i.e., poor emulsifiers) is also recognised in DE 2 321 752 and DE 2 338 087 (Chemische Werke Albert).

Specifically, DE 2 321 752 is directed to N,N-dialkyl polyhydroxyamide compounds having the formula:

$$_{\text{HO-CH}_2\text{-}(\text{CHOH})}^{\text{O}}_{\text{n}}^{\text{CNR}}_{1}^{\text{R}}_{2}$$

wherein  $R_1$  is an linear or branched aliphatic radical having 4 to 7 carbon atoms, optionally interrupted by oxygen, sulphur, or hydroxyl. Since these compounds are not surface active, they cannot be used as surfactants. DE 2 330 087 broadens the patent application to cover cases where  $R_1$  may be  $C_8$ - $C_{10}$ , preferably 8. The principal patent (DE 2 321 752), however, teaches that it is impossible to prepare stable emulsions with N-alkyl aldonamides wherein the alkyl group is N-lauryl (12 carbons), N-cetyl (16 carbons) or N-stearyl (18 carbons).

FR 2 523 962 (Centre Nationale de Recherche) teaches the compound:

20

25

in which m is 2 to 6 and R is a linear or branched alkyl group

WO 94/12511 - 4 - PCT/EP93/03171

containing 6 to 18 carbons. The patent further teaches polyoxyethylene, polyoxypropylene or polyglycerol derivatives of the formula. Again, however, there is no teaching that the hydrocarbon radical (R group) may be interrupted by a heteroatom in a way that would make it more soluble relative to an uninterrupted compound.

JP 01 168 653A (Lion) again recognises that the monosaccharide aldonamides of the art (eg N-alkyl gluconamides) do not readily dissolve in water and do not show sufficient surface activity. Again, there is a recognition that such compounds are not readily water soluble and are therefore not useful surfactants.

10

15

20

The Japanese patent publication seeks to address this problem by using N,N-dialkyl polyhydroxy amide compounds where one N-alkyl group is  $C_6-C_{18}$  and the other is  $C_1-C_4$ . There is no teaching or suggestion of using a hydrocarbon radical interrupted by a heteroatom to enhance solubility.

US 4 973 473 (Revlon Inc/Schneider et al) teaches skin treatment compositions in which the primary moisturising agent can be a gluconamide. The only example of such ingredient given is the methoxypropyl gluconamide of Example 1 which has the formula:

Since these compounds are clearly not surface active, they cannot be used as surfactants. There is no suggestion to utilize alkyl chains greater than methyl and there is clearly no teaching or suggestion that aldonamides containing interrupted long alkyl chains unexpectedly provide

much better solubility than their non-interrupted counterparts.

WO 94/12511 - 5 - PCT/EP93/03171

Hoppe-Seyler's Z Physiol Chem 330: 182 (1963) teaches alkyl gluc nyl glycinate compounds as follows:

wherein R = C<sub>8</sub> to C<sub>10</sub>. While this paper does teach monosaccharide aldonamides containing an alkyl group interrupted with an ester functionality, there is no teaching or suggestion that such alkyl groups may be interrupted with, for example, ether oxygen, sulphur or amine, or that the use of such groups will provide superior solubility of the compound relative to the use of other groups. Further, there is clearly no teaching or suggestion, as with any of the above-identified references, that the use of a two-saccharide unit or greater together with an interrupted alkyl group will provide even greater solubility.

Geyer, Chemische Berichte <u>97</u>: 2271 (1964), describes the preparation of N-alkanoyl, N-gluconoyl ethylene diamine compounds having the structure  $(R = C_{15}, C_6)$ :

10

15

Pfannemuller et al, Chemistry and Physics of Lipids 37: 227 (1985), describes the preparation of N-alkanoyl-N-methyl-N'-gluconoyl ethylene diamine having the structure (R =  $C_0$ ):

These references teach monosaccharide aldonamides

containing an alkyl group that is interrupted with an amide.

Again, there is no teaching or suggestion that aldonamides

WO 94/12511 - 6 - PCT/EP93/03171

containing alkyl groups interrupted with an ether oxygen, sulphur or amine linkage or that some interrupting groups provide greater solubility than others. Further, there is again clearly no teaching or suggestion that using sugar compounds having two saccharide units or greater (eg lactobionamides) together within an interrupted alkyl group will provide even greater solubility.

5

10

Finally, there are several references teaching aldobionic compounds (ie compounds having two or more saccharide units) generally, but which are otherwise unrelated to the specific compounds of the invention.

US 2 752 334 (Walton/National Dairy Research
Laboratories) and US 2 785 152 (Jones/National Dairy Products
Corporation) teach compounds which are the reaction products
of aldobionic acids (eg lactobionic acid) and
aldobionolactones with fatty amines or esters of amino acid.
The compounds are said to be useful as emulsifiers in food
compositions. There is no teaching or suggestion that some
lactobionamides have superior properties relative to others
and certainly no teaching or suggestion that the use of a
heteroatom (eg oxygen, nitrogen or sulphur) in the aliphatic
hydrocarbon radical provides superior foaming and solubility
relative to lactobionamides having no heteroatom in this
radical.

In Williams et al, Archives of Biochem and
Biophysics 195(1): 145-151 (1979), there is described
glycolipids prepared by linking aldobionic acids to alkylamine
through an amide bond. There is no teaching or suggestion in
this reference that the alkyl group of the alkylamine may
contain a heteroatom; nor is there any teaching or suggestion
that the use of such a heteroatom would provide
lactobionamides having enhanced foaming and solubility
relative to lactobionamides without a heteroatom.

Accordingly, it would be greatly desirable to find a class of aldonamides (both single saccharide compounds or compounds with two saccharide units or greater) which can be successfully used as surfactants in, for example, personal product, dental and detergent compositions, and which also exhibit enhanced foaming and solubility.

5

10

Our copending European Patent Applications EP 550 281A and EP 550 278A, filed on 30 December 1992, claiming the priority date of 31 December 1991, and published on 7 July 1993, are directed to the use of aldobionamide surfactants in personal products and in fabric washing detergent compositions.

#### DEFINITION OF THE INVENTION

WO 94/12511

5

15

25

30

The present invention accordingly provides the use of a saccharide aldonamide compound of the general formula I:

$$S \xrightarrow{\text{N}} C \xrightarrow{\text{N}} C \xrightarrow{\text{CH}} C \xrightarrow{\text{CH}} C \xrightarrow{\text{CH}} C \xrightarrow{\text{M}} C$$

10 wherein S represents a mono-, di- or oligosaccharide unit, A represents H, a  $C_1$ - $C_g$  hydrocarbon radical, or

$$-\left(\begin{array}{c} -CH \\ \downarrow \\ X \end{array}\right)_{m} - \left(\begin{array}{c} -CH \\ \downarrow \\ X \end{array}\right)_{m} = \left(\begin{array}{c} -CH \\ X \end{array}\right)_{m} = \left(\begin{array}{c}$$

X represents H or a C<sub>1</sub>-C<sub>4</sub> alkyl group,
Y represents a group or atom selected from

20 R represents a straight or branched C<sub>8</sub> or above hydrocarbon radical optionally containing a substituted or unsubstituted aromatic or cycloaliphatic radical,

m is an integer from 1 to 4,
p is 0 or an integer from 1 to 10,

as a surfactant or foaming agent in a surface-active and/or foaming composition.

The invention further provides a detergent composition comprising a detersive and/or foaming amount of an saccharide aldonamide compound as defined in the previous paragraph.

The invention further provides, as novel compounds, two subclasses of compounds within the general formula I:

(a) a monosaccharide aldonamide compound of the formula Ia,

$$S_{1} \xrightarrow{\text{C}} C \xrightarrow{\text{N}} \left( \begin{array}{c} C \\ C \\ X \end{array} \right)_{m} = \left[ \begin{array}{c} C \\ C \\ X \end{array} \right]_{m} = \left[ \begin{array}{c} C \\ C \\ X \end{array} \right]_{m}$$
(Ia)

10 wherein S, represents a monosaccharide unit of the formula

wherein n represents an integer of from 1 to 6,

A represents H, a  $C_1$ - $C_8$  hydrocarbon radical, or  $-\begin{pmatrix} CH \\ 1 \\ X \end{pmatrix}_m - \begin{pmatrix} CH \\ 1 \\ X \end{pmatrix}_m = \begin{pmatrix} CH \\ 1 \\ X \end{pmatrix}_m$ 

20

25

5

X represents H or a  $C_1-C_4$  alkyl group,

Y<sub>1</sub> represents -O-, -S- or -NH-,

R represents a straight or branched C<sub>8</sub> or above hydrocarbon radical optionally containing a substituted or unsubstituted aromatic or cycloaliphatic radical,

m is an integer from 1 to 4,

p is 0 or an integer from 1 to 10; and

15

20

30

(b) a di- or oligosaccharide aldonamide compound of the formula Ib,

wherein S<sub>2</sub> represents a di- or oligosaccharide unit of the formula

wherein G represents a mono-, di- or oligosaccharide unit,

A represents H, a  $C_1-C_8$  hydrocarbon radical, or

$$- \begin{pmatrix} cH \\ x \end{pmatrix}_{m} \qquad \begin{pmatrix} cH \\ x \end{pmatrix}_{m} \qquad p$$

X represents H or a C<sub>1</sub>-C<sub>4</sub> alkyl group, Y represents a group or atom selected from

25 R represents a straight or branched C<sub>8</sub> or above hydrocarbon radical optionally containing a substituted or unsubstituted aromatic or cycloaliphatic radical,

m is an integer from 1 to 4,

p is 0 or an integer from 1 to 10.

WO 94/12511 - 11 - PCT/EP93/03171

#### DETAILED DESCRIPTION OF THE INVENTION

10

25

The present invention relates to a class of environmentally friendly nonionic surfactants known as aldonamides. In particular, the invention relates to specific classes of aldonamide surfactants having enhanced foaming and solubility characteristics relative to other aldonamides.

The invention encompasses the use as surfactants and foaming agents of a defined class of aldonamide surfactants which have superior foaming and solubility characteristics relative to other aldonamides (eg both monosaccharide aldonamides and disaccharide aldobionamides).

Within that defined class, two specific embodiments of the invention relate to novel subclasses of aldonamides, and these are also claimed as novel compounds.

In general, an aldonamide is defined as the amide of an aldonic acid or aldonolactone and an aldonic acid, in turn, is a sugar substance (eg any cyclic sugar) wherein the aldehyde group (generally found at the C<sub>1</sub> position of the sugar) has been replaced by a carboxylic acid, which cyclises to an aldonolactone upon drying.

Since an aldonamide is defined by the terminal sugar, an aldonamide may be based on compounds comprising one saccharide unit (eg ribonamides, gluconamides, glucoheptonamides), two saccharide units (eg lactobionamides or maltobionamides) or they may be based on compounds comprising more than two saccharide units, as long as the terminal sugar in the polysaccharide has an aldehyde group which may be converted to an aldonic acid or aldonolactone.

While not wishing to be bound by theory, it is believed that the amphiphilic nature of alkyl aldonamides and aldobionamides cause them to concentrate at the surface interface thereby reducing the free energy of the system.

WO 94/12511 - 12 - PCT/EP93/03171

However, when all available interfaces are saturated, the overall energy reduction may be through precipitation or soluble micelle formation. In general, the preferred interfacial phenomenon is micellisation since detergency and solubilisation of alkyl aldonamides in detergents and personal products depend on the existence of these aggregates in solution. It is also favourable for micellisation to occur at low Krafft temperatures, since alkyl aldonamides that lack a heteroatom in the hydrocarbon chain have an unfavourable heat of hydration and often precipitate out of solution rendering them ineffective.

We have found that the addition of heteroatoms such as oxygen, nitrogen or sulphur unexpectedly reduced the packing constraints of these materials in the solid state. The net result is a more favorable heat of hydration, a lower Krafft point, enhanced rate of micellisation and superior foaming.

Also closer observation will reveal that compounds of this invention also unexpectedly allow the introduction of the same or greater alkyl chain length (differing only by the presence of the heteroatom) without simultaneously sacrificing foaming and solubility characteristics.

The aldonamides and aldobionomides used in the composition of the invention have solubilising and foam stabilising properties which are superior to aldonamides and aldobionamides having no heteroatom in the hydrocarbon radical.

#### Preferred compounds used in the invention

10

15

20

25

30

In all compounds that are used in accordance with the invention, the A group is preferably hydrogen although it may be a  $C_1$  to  $C_8$  straight chain or branched chain, saturated or unsaturated hydrocarbon.

WO 94/12511 - 13 - PCT/EP93/03171

When A is a C<sub>1</sub> to C<sub>8</sub> hydrocarbon radical, it may be straight chain or branched, saturat d or unsaturated aliphatic; aromatic; mixed aromatic/aliphatic.

The A group may also be interrupted by a heteroatom and may have the same structure as the other monosaccharide group attached to the nitrogen.

If this A group is an aliphatic radical, suitable aliphatic hydrocarbon radicals include saturated and unsaturated radicals including but not limited to methyl, ethyl, amyl, hexyl, heptyl, nonyl, decyl, undecyl, dodecyl, tridecyl, tetradecyl, pentadecyl, hexadecyl, heptadecyl, and octadecyl, and allyl, undecenyl, oleyl, linoleyl, linolenyl, propenyl, and heptenyl.

If the A group is an aromatic group, the aromatic radical may be, for example, benzyl.

Suitable mixed aliphatic aromatic radicals are exemplified by benzyl, phenyl ethyl, and vinyl benzyl.

R may be a straight or branched chain, saturated or unsaturated hydrocarbon backbone which may contain a substituted or unsubstituted aromatic radical or cycloaliphatic radical, wherein the hydrocarbon backbone comprises 8 or more carbon atoms.

#### Novel compounds of the invention

10

20

In a first embodiment, the invention relates to a class

(a) of single saccharide unit compounds of the formula Ia

above (eg gluconamides) having an ether group, sulphur atom or

amine in the aliphatic hydrocarbon radical.

In a second embodiment, the invention relates to a class (b) of compounds having two saccharide units or greater, of the formula Ib above, with a more broadly defined class of heteroatom in the aliphatic hydrocarbon radical.

#### 5 The monosaccharide aldonamides (a)

These compounds may be represented by the general formula below, which is equivalent to formula Ia given above:

10 
$$HO-CH_2-\begin{pmatrix} -CH \\ -CH \\ OH \end{pmatrix}_n - CH - CH - CH - CH \\ -CH \\ -CH$$

wherein n, A, m, Y<sub>1</sub>, p and R have the meanings given previously.

Preferred examples of monosaccharide aldonamides in accordance with the invention include  $C_8$  to  $C_{15}$  oxypropyl D-gluconamides and  $C_{16}$  to  $C_{21}$  aminopropyl D-gluconamides.

A specific example is dodecyl oxypropyl gluconamide 20 having the structure above wherein n=4, X=hydrogen, m=3, Y=oxygen, p=0, A=hydrogen, and  $R=C_{12}$  straight chain alkyl.

#### The di- or oligosaccharide aldonamides (b)

The greater than one saccharide unit aldobionamides may 25 be represented by the following the general formula, which is equivalent to the formula Ib given above:

wherein G, A, X, m, Y, p and R have the meanings given previously.

5

25

It will be noted that Y has a broader meaning than Y<sub>1</sub> and 10 can represent any of the following atoms or groups:

G is an attached saccharide unit(s) (mono-,di- or oligosaccharide).

Preferred examples of disaccharide aldobionamides in accordance with the present invention include  $C_{12}$  and  $C_{14}$  oxypropyl lactobionamides, D-lactobionyl  $C_{12}$ -beta alanate, and D-lactobionyl  $C_{12}$  glycinate.

A specific example of an aldobionamide which may be used for the purposes of the invention is the disaccharide dodecyl oxypropyl lactobionamide having the formula

wherein X = hydrogen; m (1st m from left to right) = 3; Z = oxygen; p = 0; A = hydrogen; and R =  $C_{12}$  straight chain alkyl.

WO 94/12511 - 16 - PCT/EP93/0317i

#### DETERGENT COMPOSITIONS

10

25

30

The novel detergent components of the present invention may be incorporated in detergent compositions of all physical types, for example, powders, liquids, gels and solid bars. They may, for example, be incorporated at low levels to enhance the foam of other detergent-active compounds, or in more substantial amounts as surfactants in their own right.

These compositions may generally contain other detergent-active compounds, detergency builders, bleaching components and other active ingredients to enhance performance and properties.

Other detergent-active compounds (surfactants) present may be chosen from soap and non-soap anionic, cationic, nonionic, amphoteric and zwitterionic detergent-active compounds, and mixtures thereof. Many suitable detergent-active compounds are available and are fully described in the literature, for example, in "Surface-Active Agents and Detergents", Volumes I and II, by Schwartz, Perry and Berch.

The preferred detergent-active compounds that can be used are soaps and synthetic non-soap anionic and nonionic compounds.

Detergent compositions of the invention may also, depending on the purpose for which they have been formulated, contain various ancillary ingredients. For example, fabric washing compositions may contain detergency builders; bleach components; sodium carbonate; sodium silicate; antiredeposition agents such as cellulosic polymers; fluorescers; inorganic salts such as sodium sulphate; proteolytic and lipolytic enzymes; dyes; coloured speckles; perfumes; fabric softening compounds. This list is not intended to be exhaustive.

WO 94/12511 - 17 - PCT/EP93/03171

The compounds of the invention are also suitable for other applications in which surfactant and/or foaming properties are useful, for example shampoos and personal washing, personal care and dental care compositions.

#### 5 EXAMPLES

The invention is set forth in greater detail in the examples which follow below. These examples are intended to be illustrative only and are not intended to be limiting in any way.

10

15

20

25

#### Example 1

### <u>Preparation of coco D-gluconamide</u> (used for comparative purposes)

A 5-litre four-necked round bottom flask equipped with a condenser, addition funnel, thermometer and mechanical stirrer was charged with D-glucono-1,5-lactone (480 g, 2.69 moles) and methanol (2752 g, for 27% total solids). The suspension was heated to 40-50°C for 15 minutes and the heating mantle removed. Cocoamine (538 g, 2.69 moles) containing methanol (80 ml) was added dropwise over 1/2 hour. The reaction mixture was allowed to cool to room temperature (about 21°C) followed by stirring overnight to allow complete crystallisation. The white product was filtered, washed with methanol (3 x 500 ml) and dried under vacuum at 40-45°C giving 947 g (93% yield) of coco D-gluconamide with a melting point of 147-148°C.

WO 94/12511 - 18 - PCT/EP93/03171

### Analysis of monosaccharide aldonamides by gas chromatography

Gas chromatography was found to be a convenient method for the examination f monosaccharide aldonamides. The method of persilylation with hexamethyldisilazane (HMDS) and trimethylchlorosilane (TMCS) in pyridine is the simplest way for producing sufficiently stable and volatile derivatives for analysis. The mixture of both agents are more reactive than either reagent alone, and the by-products combine to form neutral ammonium chloride or pyridine hydrochloride.

The purity of several monosaccharide aldonamides were determined and found to be 97-99.9%. All products were well separated from starting materials, however aldonamides with alkyl groups containing eighteen carbons or more were not volatile enough for analysis.

15 Approximately 7-10 mg of monosaccharide aldonamide was treated with 1 ml of sil-prep reagent (pyridine:

HMDS:TMS=9:3:1) in a 1 dram stoppered vial containing a magnetic stirring bar. The mixture was stirred vigorously at room temperature for 1 hour or longer prior to chromatography.

20 The solution became cloudy owing to precipitation of NH<sub>4</sub>Cl and pyridine HCl which was filtered through a Cameo (Trade Mark)

II 25 mm filter. From 1-1.1 microlitres of the resulting mixture was injected into the gas chromatograph.

All gas chromatography was conducted on a Hewlett Packard (Trade Mark) 5890 Series II Gas Chromatograph. All sample components were detected by a flame ionisation detector using a split ratio of 100:1 and separated on a crosslinked 5% phenylmethyl silicone capillary column 25 m x 0.32 mm x 0.53 micrometres. The carrier gas was helium at 1 ml/min and the temperature program was 3 min at 140°C then 30°C/min to 250°C for 75 min.

#### Examples 2-18

The alkyl aldonamides 2-18 in Table 1 were prepared as in Example 1.

Table 1:
5 monosaccharide alkyl aldonamide compounds without heteroatom
for comparative purposes

10	Ex.	Structure (without hydrocarbon radical)	Attached hydrocarbon	мр(°С)	% Yield	% Purity
15	2	O    	С <sub>12</sub> Н <sub>25</sub>	101-102	93	99.9
20	3	OH O     HOCH2CHCHCHCHCHH-       OHOH OH	- с <sub>7</sub> н <sub>15</sub>	159-160	93	99.7
,	4	<b>11</b>	С <sub>8</sub> Н <sub>17</sub>	159-160	90	99.9
	5	**	С <sub>9</sub> Н <sub>19</sub>	158-159	92	99.9
	6	H	C <sub>10</sub> H <sub>21</sub>	157-158	91	99.9
25	7	11	c <sub>11</sub> H <sub>23</sub>	156-157	92	99.9

<u>D-Gluconamide</u> .....continued

	Ex.	Structure (without hydrocarbon radical)	Attached hydrocarbon	MP(°C) %	: Yield	% Purity
5	8	as Example 3	C <sub>12</sub> H <sub>25</sub>	155-156	96	99.9
	9	II	с <sub>13</sub> н <sub>27</sub>	155-156	95	99.9
	10	11	C <sub>14</sub> H <sub>29</sub>	154-155	92	97.4
	11	11	C <sub>16</sub> H <sub>33</sub>	152-153	94	99.9
	12	11	C <sub>18</sub> H <sub>37</sub>	147-149	94	· -
10		D-Gluconamide	•			
15	13	OHOH O          HOCH2CHCHCHCHCH-   OH OH  D-Galactonamide	С <sub>12</sub> Н <sub>25</sub>	187-188(d)	93	99.8
20	14	OH OH O         HOCH2CHCHCHCHCNH-     OH OH  L-Galactonamide	с <sub>12</sub> н <sub>25</sub>	187-188 (d)	95	99.7
25		OH 0	· · · · · · · · · · · · · · · · · · ·		<u></u>	
30	15	OH O   I  HOCH2CHCHCHCHCHH-       OHOH OH  L-Mannonamide	<sup>C</sup> 12 <sup>H</sup> 25	159-160	95	99.6

	WO 94/12511			PC	CT/EP93/03171
	Structure (without hydrocarbon Ex. radical)	Attached hydrocarbon	MP( <sup>O</sup> C) %	Yield	% Purity
5	OHOH O     II  16 HOCH2CHCHCHCHCNH- OH OHOH	C <sub>12</sub> H <sub>25</sub>	195-197(d)	97	98.6
10	D-Glycero-L-Mannohe	eptonamide			
15	OH O      17 HOCH2CHCHCHCHCHCHH- 2         OHOH OHOH  D-Glucoheptonamic		156-157	93	99.9
20	OH O   II 18 HOCH2CHCHCHCHCHCHCN             OHOH OHOHOH	H- C <sub>12</sub> H <sub>25</sub>	156-157	93	99.9
	D-Glucooctonamide	<u> </u>			

10

#### Example 19

## Preparation of octyl/decyloxypropyl D-ribonamide

A 250 ml four-necked round bottom flask equipped with a condenser, additional funnel, thermometer and mechanical stirrer was charged with ribono-1,4-lactone (10 g, 0.068 mole) and methanol (37 g, for 40% solids). The suspension was heated to 40-50°C for 15 minutes and heating mantle removed. Octyl/decyloxypropylamine (14.6 g, 0.68 mole) was added dropwise over 1/2 hour and the reaction mixture stirred for 6 hours. The white product was filtered, washed with cold acetone (3 x 10 ml) and dried under vacuum at 40-45°C giving 14 g (57% yield) of octyl/decyloxypropyl D-ribonamide with a melting point of 71-72°C and 98.7% purity (C<sub>8</sub>/C<sub>10</sub>: 62.8%/35.9%).

### Examples 20-28 (oxygen heteroatom)

The monosaccharide alkyloxypropyl aldonamides 20-28 in Table 2 were prepared as in Example 19.

Table 2: monosaccharide alkyloxypropyl aldonamides

				•		
5	Ex.	Structure	Hydrocarbon	MP(°C)	Yield (%)	Purity (%)
10	20	OH O      HOCH2CHCHCHCHCHH-       OHOH OH	с <sub>3</sub> н <sub>6</sub> о- с <sub>8</sub> н <sub>17</sub> /с <sub>10</sub> н <sub>21</sub>	119-120	83	63.7/
	21	same as 20	C <sub>3</sub> H <sub>6</sub> O- Isodecyl	96-101	83	-
15	22	same as 20	с <sub>3</sub> н <sub>6</sub> о- с <sub>12</sub> н <sub>25</sub>	129-130	96	99.5
	23		C <sub>3</sub> H <sub>6</sub> O- C <sub>12</sub> H <sub>25</sub> to C <sub>15</sub> H <sub>31</sub>	125-126	82	-
	24	same as 20	С <sub>3</sub> Н <sub>6</sub> О- С <sub>14</sub> Н <sub>29</sub>	129-130	86	99.7
20		D-Gluconamide		•		

	Ex.	Structure	Hydrocarbon	MP(°C)	% Yield	% Purity
5	25	OH O      HOCH2CHCHCHCHCHCNH-         OHOH OHOH		129-130	88	66.2/ 33.6
	26	same as 25	C <sub>3</sub> H <sub>6</sub> O- isodecyl	100-105	85	-
10	27	same as 25	C3H6O- C12C25	133-134	89	99.9
	28	same as 25 C	C <sub>3</sub> H <sub>6</sub> O- C <sub>12</sub> H <sub>25</sub> to C <sub>15</sub> H		75	· <b>-</b>

#### D-Glucoheptonamide

15

20

25

# Example 29 (nitrogen heteroatom) Preparation of cocoaminopropyl D-gluconamide

A 1-litre round-bottomed flask equipped with a condenser, addition funnel, thermometer and mechanical stirrer was charged with D-glucono-1,5-lactone (100 g, 0.56 mole) and methanol (208 g for 55% total solids). The suspension was heated to 40°C over 15 minutes and the heating mantle removed. Cocoaminopropylamine (153.8 g, 0.56 mole) was added dropwise over 15 minutes with rapid stirring. The reaction mixture was cooled and placed in a refrigerator at 0°C overnight. The white product was filtered, washed with cold isopropanol (3 x 100 ml) and dried under high vacuum at 35°C giving 206 g (81% yield) of cocoaminopropyl D-glucononamide with a melting point of 109-111°C.

#### Example 30 (nitrogen heteroatom)

Soyaaminopropyl D-gluconamide was prepared as in Example 29.

# Example 31 (nitrogen heteroatom) 10 Preparation of oleylaminopropyl D-gluconamide

15

20

A 500 ml round-bottomed flask equipped with a condenser, addition funnel, thermometer and mechanical stirrer was charged with D-glucono-1,5-lactone (25 g, 0.14 mole) and methanol (31 g, 70% total solids). The suspension was heated to 40°C for 15 minutes and the heating mantle removed.

Oleylaminopropylamine (47.72 g, 0.14 mole) was added dropwise over 10 minutes and the reaction stirred for 6 hours. Acetone (300 ml) was added and the flask placed in a refrigerator at 0°C overnight. The white product was filtered, washed with cold acetone (3 x 50 g) and dried under high vacuum at 35°C giving 65 g (89% yield) of oleylaminopropyl D-gluconamide with a melting point of 100-103°C.

Examples 32-33 (oxygen and nitrogen heteroatoms)

	Example Structure		Hydrocarbon	MP(°C)	% Yield
5	32	OH O   II HOCH <sub>2</sub> CHCHCHCHCNH-       OHOH OH	C <sub>3</sub> H <sub>6</sub> NHC <sub>3</sub> H <sub>6</sub> O- isotridecyl	70-77	84
	33	same as 32	C3H6NHC3H6O- C12H25 to C15H31	91 <b>-</b> 95	87
10		D-Gluconamide			

In each of Examples 20-33, it should be appreciated, to the extent it is not clear, that the hydrocarbon radical is all one radical with the portion of the radical on any line attached to the portion immediately above it. For example, in Example 21, the radical is  $C_3H_6$ 0-isodecyl. Also, a slash 15 represents a mixture of radicals. Thus, Example 20 represents a mixture of  $C_3H_6OC_8H_{17}$  and  $C_3H_6OC_{10}H_{21}$  radicals.

#### Example 34

# Preparation of N-dodecyl lactobionamide (used for comparative purposes)

30 g of lactobiono-1,5-lactone (1 eq) was dissolved in 70 ml of anhydrous dimethylformamide (DMF) at 75-80°C. 15.85 g (1 eq) dodecylamine was added, the reaction mixture was kept stirring at 70-80°C for 30 minutes. The reaction was allowed to cool, diethyl ether (200 ml) was added. The product was filtered and washed with diethyl ether (2 x 150 ml) and recrystallisation from methanol gave 90% of the desired product.

# Example 35 Preparation of N-tetradecyl lactobionamide (used for comparative purposes)

Lactobiono-1,5-lactone (20 g, 1 eq) was dissolved in 60 ml of anhydrous DMF at 65°C. 12.5 g of tetradecylamine (1 eq) was added, the reaction was stirred at 65°C for 30 minutes. The reaction mixture was cooled, diethyl ether (2 x 150 ml) was added. The product was filtered and washed with diethyl ether. Recrystallisation from methanol yielded 92% of the desired product.

# Example 36 Preparation of N-hexadecyl lactobionamide (used for comparative purposes)

The same procedure was employed as in Example 35 using 10 g of lactobiono-1,5-lactone (1 eq) and 7.1 g of hexadecylamine (1 eq). Recrystallisation from methanol yielded 90% of the desired product.

### Example 37

#### Preparation of lactobionyl dodecyl glycinate

9.0 g of dodecyl glycinate hydrochloride was dissolved in 50 ml of anhydrous methanol by gentle heating, 16 ml of 2.0 M methanolic ammonia was added, followed by addition of 10.9 g (1 eq) of lactobiono-1,5-lactone. The reaction mixture was heated to reflux for 1 hour, activated charcoal was added and the mixture was filtered hot. The solvent was removed, the product was washed with diethyl ether and dried in a vacuum oven at 40°C with P<sub>2</sub>O<sub>5</sub> to give a yield of approximately 75%.

10

# Example 38 Preparation of lactobionyl dodecyl\_beta-alanate

The same procedure was employed as described above for lactobionyl dodecyl glycinate except 3.0 g of dodecyl b-alanate hydrochloride and 3.45 g of lactobiono-1,5-lactone in anhydrous methanol were used. The yield was approximately 70%.

# Example 39 Preparation of dodecyloxypropyl lactobionamide

Lactobiono-1,5-lactone (180 g, 1 eq) was dissolved in methanol (50°C, 1.1 litre) dodecyloxypropylamine (115.8, 1 eq) was added slowly. After the addition was complete, the reaction was stirred overnight at room temperature. The product was filtered, washed twice with warm acetone and dried in a vacuum oven at 40°C. The yield was 215 g (72.7%).

10

15

## Example 40 Preparation of tetradecyloxypropyl lactobionamide

Lactobiono-1,5-lactone (500 g, 1 eq) was dissolved in methanol (50°C, 3 litres) tetradecyloxypropylamine (Adogen 184 (R), 385 g, 1 eq) was added in several portion slowly. After the addition have completed, the reaction was stirred at room temperature overnight. The product was filtered, washed twice with warm acetone, and dried in a vacuum oven at 40°C. The yield was 647 g (73.1%).

#### Example 41: Krafft Points

The temperature at and above which surfactants begin to form micelles instead of precipitates is referred to as Krafft Point  $(T_k)$  and at this temperature the solubility of a surfactant becomes equal to its critical micelle concentration or CMC (numerical value at which micelles are formed).

The appearance and development of micelles are important since detergency and solubilisation of surfactants in dishwashing liquids, shampoos, detergents, etc depend on the formation of these aggregates in solution.

The Krafft point was measured by preparing 650 ml of a 0.1% or 1.0% by weight dispersion of aldonamide in water. If the surfactant was soluble at room temperature, the solution was slowly cooled to 0°C. If the surfactant did not precipitate out of solution, its Krafft point was considered to be <0°C. If it precipitated out of solution, the temperature at which precipitation occurred was taken as the Krafft point.

WO 94/12511 - 30 - PCT/EP93/03171

If the surfactant was insoluble at room temperature, the dispersion was slowly heated until the solution became homogeneous. It was then slowly cooled until precipitation occurred. The temperature at which the surfactant precipitated out of solution was taken as the Krafft point.

The results are set out below under Examples 43-46.

#### Example 42: Foam Height

Foam is an important attribute in many consumer products. It is one of the dominant factors that determines the commercial value of products such as shampoo, soap, etc. Also, acceptability of many consumer products is closely related to the quality and texture of the foam they produce (psychological aspect).

Since most foaming data on surfactants is typically obtained by the Ross-Miles method (Ross J and Miles G D, Am Soc for Testing Material Method D1173-53, Philadelphia, PA. (1953); Oil & Soap (1958) 62: 1260) the foaming ability of these surfactants was also assessed using this method.

15

In the Ross-Miles method, 200 ml of a solution of

surfactant contained in a pipette of specified dimensions with
a 2.9 mm internal diameter orifice is allowed to fall 90 cm
onto 50 ml of the same solution contained in a cylindrical
vessel maintained at a given temperature (often 60°C) by means
of a water jacket. The height of the foam produced in the

cylindrical vessel is read immediately after all the solution
has run out of the pipette (initial foam height) and then
again after a given amount of time (generally, 5 minutes).

Using this method, the foam production (initial foam height in mm) and foam stability (final foam height after 10 minutes in mm) were measured at 0.1% aldonamide concentration 40°C and 0 ppm (parts per million) hardness. Aldonamides that were not soluble at 40°C were measured 5-10°C above their Krafft point.

In order to show the unexpected enhancement in solubility and foam stabilisation of the compounds of the invention relative to similar aldonamide compounds having no heteroatom in the attached aliphatic group, applicants compared a series of alkyl aldonamides and aldobionamides lacking a heteroatom in the hydrocarbon radical to those containing a heteroatom in the hydrocarbon radical.

The results are set out below under Examples 43-46.

15 Example 43

10

#### Monosaccharide aldonamides containing four hydroxyl groups

	Entry	Compound	Hydrocarbon chain length	Foam heid	Krafft point		
			(average)	initial	final	(°C)	
20	A	C <sub>12</sub> ribonamide (comparative)	12	184	103	54	
		C <sub>8</sub> /C <sub>10</sub> oxypropy	1 11.5	217	200	10	

This example clearly shows that when a heteroatom is added, foaming is enhanced and solubility increased.

Example 44

Monosaccharide aldonamides containing five hydroxyl groups

5	Entry	Compound	-	carbon length		height		Krafft point (°C)
	Entri	es C to N (Com	parati	ve)				,
	С	C <sub>7</sub> D-Gluconam	ide	7	0		0	<0
	D	C <sub>8</sub> D-Gluconam	ide	8	0		0	12
	E	C <sub>9</sub> D-Gluconam	ide	9	0		0	53
10	F	C <sub>10</sub> D-Glucona	mide	10	199		6	<b>7</b> 5
	G	C <sub>11</sub> D-Glucona	mide	11	x		x	87
	н	C <sub>12</sub> D-Glucona	mide	12	x		x	91
	ı	C <sub>12</sub> D-Galacto	namide	12	- I	nsoluble	-	>100
	J	C <sub>12</sub> L-Galacto	namide	12	- I	nsoluble	-	>100
15	K	C <sub>12</sub> L-Mannona	mide	12	÷ I	nsoluble	-	>100
	L	C <sub>14</sub> D-Glucona	mide	14	<b>-</b> I	nsoluble	-	>100
	М	C <sub>16</sub> D-Gluconar	mide	16	- I	nsoluble	-	>100
	N	C <sub>18</sub> D-Gluconar	mide	18 .	<b>-</b> I	nsoluble	-	>100

WO 94/12511 - 33 - PCT/EP93/03171

	Entry	Compound	Hydrocar chain le (average	ngth	height (mm)	Krafft point (°C)
	<u>Entri</u>	es O to W (Inv	ention)			
5	0	c <sub>8</sub> /c <sub>10</sub> oxypro	pyl 11.	5 212	165	48
	P	Iso C <sub>10</sub> Oxypropyl D-Gluconamide	13	213	206	<0
10	Q	C <sub>12</sub> Oxypropyl D-Gluconamide	15	200	110	61
	R	C <sub>12</sub> -C <sub>15</sub> Oxypro	opyl 16.	25 200	105	58
	S	C <sub>14</sub> Oxypropyl D-Gluconamide	17	203	101	53
15	T	Cocoaminopropy D-Gluconamide	yl 16	186	182	18
	ט	Oleylaminoprop D-Gluconamide	pyl 21	158	84	<18
20	v	Iso C <sub>13</sub> Oxypropylamino propyl D-Gluconamide	19	180	178	<0
25	W	C <sub>12</sub> -C <sub>15</sub> Oxypropylamino	19.2	25 180	176	<0
		D-Gluconamide				

X in the Table indicates that the foam height of these high Krafft point materials could not be measured.

Entries C-N are comparative and O-W are compounds of the invention. The best comparisons are based on average number of hydrocarbons. From the above table, it can be seen that compounds without heteroatoms become increasingly insoluble in water resulting in poor or no foaming.

By contrast, when a heteroatom is present, enhanced foaming and reduced Krafft point can be seen in all cases, even entry U which contains 21 carbon atoms.

10

15

20

Also, it can be seen that when more than one heteroatom is present (entries V and W), Krafft points are significantly lower (ie less than 0) indicating enhanced solubility for compounds that have a longer than average hydrocarbon chain length which otherwise would be normally insoluble.

### Example 45

## Monosaccharide aldonamides containing six hydroxyl groups

This example shows that merely increasing hydrophilicity of the sugar (by hydroxyl group addition) does not enhance solubility (comparative entries X, Y & Z). However, when a heteroatom is introduced into the hydrocarbon radical Krafft points are reduced and foaming enhanced (entries AA-DD).

Example 45

	Mo	nosaccharide al	Ldonam	ides con	taining s	ix hydroxyl	groups			
5	Entry	Compound.	-	carbon length age)	Foam he	ight (mm) final	Krafft point (°C)			
	Entri	es X to Z (comp	oarati	ve)		· .				
	х	C <sub>12</sub> D-Glycero- Mannoheptonami		12	- Insol	luble -	>100			
10	Y	C <sub>12</sub> D-Glucoheptona	ımide	12	x	x	91			
	Z	C <sub>12</sub> D-Glucooctonam	nide	12	x	x	86			
	Entries AA to DD (invention)									
15	AA	C <sub>8</sub> /C <sub>10</sub> Oxypro D-Glucohepton		11.5	221	90	60			
	ВВ	Iso C <sub>10</sub> Oxypr D-Glucohepton		13	215	204	18			
	cc	C <sub>12</sub> Oxypropyl D-Glucohepton		15	245	80	73			
20	DD	C <sub>12</sub> -C <sub>15</sub> Oxypr	opyl	16.25	239	97	68			

D-Glucoheptonamide

Example 46

Disaccharide aldobionamides containing eight hydroxyl groups

5	Entry	Compound	Hydrocarbon chain length (average)		ght (mm)	Krafft point ( <sup>O</sup> C)
	<u>Entri</u>	es EE to GG (c	omparative)			
	EE	C <sub>12</sub> Lactobionamic	12 de	153	20	38
10	FF	C <sub>14</sub> D-Lactobiona	14 mide	145	140	46
	GG	C <sub>16</sub> D-Lactobionar	l6 mide	95	95	48
	Entrie	s HH to KK (ir	nvention)			
15	НН	D-Lactobionyl		161	153	<0
	II	D-Lactobionyl C <sub>12</sub> beta-alan		159	152	<0
	IJ	C <sub>12</sub> Oxypropyl D-Lactobionam		165	154	<0
20	KK	C <sub>14</sub> Oxypropyl		163	154	<0

This example shows that use of the heteroatom in aldobionamides clearly enhances foaming and greatly reduces Krafft point, ie from 38°C, 46°C and 48°C in comparative entries EE-GG to below 0°C in all compounds of the invention.

## Discussion of Examples 43-46

Th data clearly show that alkyl gluconamides lacking a heteroatom in the hydrocarbon chain (E-H, L-N) are inferior foamers and have higher Krafft points. While not wishing to be bound by theory, it is believed that these compounds pack closely in the solid state through strong amide/hydroxyl hydrogen bonding and hydrocarbon Van der Waal forces. The net results is an unfavourable heat of hydration, high Krafft point, low or no water solubility and poor foaming profile. 10 Changing the stereochemistry (I-K) or increasing the hydrophilicity (X-Z) of the sugar head group (by hydroxyl group addition) results in little improvement. However, monosaccharide alkyl aldonamides that contain a heteroatom such as oxygen (B,O-S, AA-DD) or nitrogen (T, U) on both (V, 15 W) in the hydrocarbon chain are believed to pack more favourably in the solid state thereby resulting in a low Krafft point, increased water solubility and superior foaming profile.

Also, closer comparison reveals that monosaccharide
aldonamides containing a heteroatom in the hydrocarbon chain
unexpectedly allow the introduction of the same or greater
alkyl chain length without sacrificing foaming and solubility
characteristics. (Compare the average number of hydrocarbons
of L-N to Q-W and Y to AA-DD).

Disaccharide alkyl lactobionamides (EE-GG) tend to have reasonable Krafft points and foaming profiles. However, the addition of a heteroatom such as oxygen in the form of an ester (HH, II) or ether (JJ, KK) in the hydrocarbon chain results in a extremely low Krafft points (<0°C), increased water solubility and enhanced foaming.

#### CLAIMS

1 Use of a saccharide aldonamide compound of the general formula I:

10 wherein S represents a mono-, di- or oligosaccharide unit, A represents H, a  $C_1$ - $C_8$  hydrocarbon radical, or

$$-\left(-\frac{CH}{x}\right)_{m} - \left(-\frac{CH}{x}\right)_{m} - \left(-\frac{CH}{x}\right)_{m} = 0$$

15

X represents H or a C<sub>1</sub>-C<sub>4</sub> alkyl group, Y represents a group or atom selected from

20

R represents a straight or branched C<sub>8</sub> or above hydrocarbon radical optionally containing a substituted or unsubstituted aromatic or cycloaliphatic radical,

m is an integer from 1 to 4,
p is 0 or an integer from 1 to 10,

25

as a surfactant or foaming agent in a surface-active and/or foaming composition.

WO 94/12511

2 Use of a compound as claimed in claim 1, wherein S represents a monosaccharide unit of the formula:

5

wherein n represents an integer of from 1 to 6.

- 3 Use of a compound as claimed in claim 2, wherein n is 4.
- 4 Use of a compound as claimed in claim 2, wherein Y 10 represents O, S or NH.
  - 5 Use of a compound as claimed in claim 1, wherein S represents a di- or oligosaccharide unit of the formula:

15

wherein G represents a mono-, di- or oligosaccharide unit.

- 6 Use of a compound as claimed in any preceding claim wherein X represents a hydrogen atom.
- 7 Use of a compound as claimed in any preceding claim 20 wherein m is 3.

WO 94/12511 - 40 - PCT/EP93/03171

- 8 Use of a compound as claimed in any preceding claim wherein Y represents an oxygen atom.
- 9 Use of a compound as claimed in any preceding claim wherein p is zero.
- 5 10 Use of a compound as claimed in any preceding claim wherein A represents a hydrogen atom.
  - 11 Use of a compound as claimed in any preceding claim wherein R represents a  $C_8$  to  $C_{24}$  alkyl group.
- Use of a compound as claimed in claim 11 wherein R represents a C<sub>10</sub> to C<sub>14</sub> alkyl group.
  - Use of a compound as claimed in claim 1 which is a  $C_8$  to  $C_{15}$  oxypropyl D-gluconamide.
  - Use of a compound in claimed in claim 1 which is a  $C_{16}$  to  $C_{21}$  aminopropyl D-gluconamide.
- 15 Use of a compound as claimed in claim 1 which is  $C_{12}$  or  $C_{14}$  oxypropyl lactobionamide.
  - 16 Use of a compound as claimed in claim 1 which is D-lactobionyl  $C_{12}$ -beta alanate or D-lactobionyl  $C_{12}$  glycinate.

17 A detergent composition comprising a det rsive and/or foaming amount of a compound of the formula I as defined in claim 1.

- 41 -

18 A monosaccharide aldonamide compound of the formula Ia,

5 
$$S_1$$
  $C_1$   $C_1$   $C_2$   $C_3$   $C_4$   $C_4$   $C_4$   $C_5$   $C_7$   $C_8$   $C_$ 

wherein S, represents a monosaccharide unit of the formula

15

wherein n represents an integer of from 1 to 6,

A represents H, a  $C_1-C_8$  hydrocarbon radical, or

$$-\begin{pmatrix} -CH \\ X \end{pmatrix}_{m} - Y_{1} - \begin{pmatrix} -CH \\ X \end{pmatrix}_{m} - Y_{1}$$

20

25

X represents H or a  $C_1-C_4$  alkyl group,

Y, represents -O-, -S- or -NH-,

R represents a straight or branched C<sub>8</sub> or above hydrocarbon radical optionally containing a substituted or unsubstituted aromatic or cycloaliphatic radical,

m is an integer from 1 to 4,

p is 0 or an integer from 1 to 10.

15

20

30

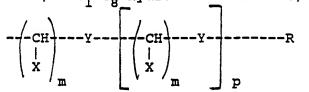
19 A di- or oligosaccharide aldonamide compound of the formula Ib,

$$S_{2} \xrightarrow{\mid i \mid } C \xrightarrow{\mid i \mid } CH \xrightarrow{\mid i \mid } T \xrightarrow{\mid i \mid }$$

wherein S<sub>2</sub> represents a di- or oligosaccharide unit of the formula

wherein G represents a mono-, di- or
oligosaccharide unit,

A represents H, a  $C_1-C_8$  hydrocarbon radical, or



· <u>m</u>

X represents H or a C<sub>1</sub>-C<sub>4</sub> alkyl group, Y represents a group or atom selected from

25 R represents a straight or branched C<sub>8</sub> or above hydrocarbon radical optionally containing a substituted or unsubstituted aromatic or cycloaliphatic radical,

m is an integer from 1 to 4,

p is 0 or an integer from 1 to 10.

5

#### INTERNATIONAL SEARCH REPORT

International application No. PCT/EP 93/03171

A. CLASSIFICATION OF SUBJECT MATTER
IPC 5 C07H15/04 C07C235/08 C07C235/10 C11D1/52 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols)

IPC 5 C07H C07C C11D Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Citation of document, with indication, where appropriate, of the relevant passages WO, A, 89 10121 (REVLON INC.) 2 November 18 X 1989 see page 3 - page 6 19 X CHEMISTRY LETTERS no. 4 , April 1990 , TOKYO JP pages 675 - 678 N. HIGASHI ET AL 'Permeation characteristics of a glycolipid monolayer-deposited agar hydrogel. see the whole document EP,A,O 550 106 (UNILEVER N. V.) 7 July 1,5,17, P,X 1993 see page 3 - page 6 Patent family members are listed in annex. Further documents are listed in the continuation of box C. X Special categories of cited documents: To later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance invention 'E' earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such docu-"O" document referring to an oral discionure, use, exhibition or ments, such combination being obvious to a person skilled other means in the art. document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of mailing of the international search report Date of the actual completion of the international search **15**. 03. 94 3 March 1994 Authorized officer Name and mailing address of the ISA European Patent (fice, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax (+31-70) 340-3016 Moreno, C

Form PCT/ISA/210 (second sheet) (July 1992)

. 2

# INTERNATIONAL SEARCH REPORT

International application Nc. PCT/EP 93/03171

2.40	DOGULARITY CONCENCED TO BE BELEVANT	PC1/EF 93/031/1
Category *	non) DOCUMENTS CONSIDERED TO BE RELEVANT  Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	EP,A,O 550 281 (UNILEVER PLC) 7 July 1993 cited in the application see page 3 - page 5, line 5	1,17,19
Ρ,Χ	EP,A,O 551 675 (UNILEVER PLC) 21 July 1993 see page 2 - page 5	19
A	EP,A,O 312 087 (LUITPOLD-WERK) 19 April 1989	1,17-19
<b>\</b>	see the whole document WO,A,92 06155 (THE PROCTER & GAMBLE COMPANY) 16 April 1992 see the whole document	1,17
1	WO,A,92 06160 (THE PROCTER & GAMBLE COMPANY) 16 April 1992 see the whole document	1,17
	·	

. 2

Form PCT/ISA/218 (continuation of second sheet) (July 1992)

## INTERNATIONAL SEARCH REPORT

aformation on patent family members

International application No. PCT/EP 93/03171

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A-8910121	02-11-89	AU-B- 616261 AU-A- 3325489 AU-B- 621619 AU-A- 3546089 CA-A- 1315803 EP-A- 0338565 EP-A- 0366753 JP-A- 2009853 JP-T- 3501127 US-A- 5084270	24-10-91 26-10-89 19-03-92 24-11-89 06-04-93 25-10-89 09-05-90 12-01-90 14-03-91 28-01-92
EP-A-0550106	07-07-93	NONE	
EP-A-0550281	07-07-93	CA-A- 2086225 CA-A- 2086227 EP-A- 0550278	01-07-93 01-07-93 07-07-93
EP-A-0551675	21-07-93	AU-A- 3051692 CA-A- 2085955	08-07-93 01-07-93
EP-A-0312087	19-04-89	DE-A- 3734853 AU-A- 2368588 DE-D- 3884126 - JP-A- 1143891 JP-B- 6004666 US-A- 5037973	27-04-89 20-04-89 21-10-93 06-06-89 19-01-94 06-08-91
WO-A-9206155	16-04-92	AU-A- 8710191 CN-A- 1062162 EP-A- 0550690	28-04-92 24-06-92 14-07-93
WO-A-9206160	16-04-92	AU-A- 8646991 CA-A- 2092562 CN-A- 1062163 EP-A- 0550606	28-04-92 29-03-92 24-06-92 14-07-93

THIS PAGE BLANK (USPTO)